

**AMENDMENT**

**OFFERED BY MR. DESAULNIER OF CALIFORNIA**

At the end of title V of division B of the bill, add the following:

1           **Subtitle F—Moving First**

2   **SEC. 5601. DEFINITIONS.**

3           In this subtitle:

4                   (1) **LARGE COMMUNITY.**—The term “large com-  
5           munity” means a beneficiary community with a pop-  
6           ulation between 400,000 and 1,000,000, according  
7           to the Census Bureau’s most recent annual esti-  
8           mates of resident population.

9                   (2) **MID-SIZED COMMUNITY.**—The term “mid-  
10          sized community” means a beneficiary community  
11          with a population between 75,000 and 400,000, or  
12          a beneficiary community with a population between  
13          10,000 and 75,000 that is located within an urban-  
14          ized area or cluster, according to the Census Bu-  
15          reau’s most recent annual estimates of resident pop-  
16          ulation.

17                  (3) **MULTI-JURISDICTIONAL GROUP.**—The term  
18          “multi-jurisdictional group” means a beneficiary  
19          composed of 2 or more combination of States, tribal

1 governments, local governments, public transit agen-  
2 cies, public toll authorities, or metropolitan planning  
3 agencies, each of which is eligible to apply for a  
4 SMART grant under section 5602.

5 (4) REGIONAL PARTNERSHIP.—The term “re-  
6 gional partnership” means a group of 2 or more ju-  
7 risdictions with a combined population between  
8 10,000 and 75,000, according to the Census Bu-  
9 reau’s most recent annual estimates of resident pop-  
10 ulation, which have entered into a partnership to  
11 apply for a SMART grant under section 5602.

12 (5) RURAL COMMUNITY.—The term “rural  
13 community” means a beneficiary jurisdiction with a  
14 population between 10,000 and 75,000 people, not  
15 located within an urbanized area or cluster, accord-  
16 ing to the Census Bureau’s most recent annual esti-  
17 mates of resident population.

18 (6) SECRETARY.—The term “Secretary” means  
19 the Secretary of Transportation.

20 (7) STRENGTHENING MOBILITY AND REVOLU-  
21 TIONIZING TRANSPORTATION GRANT; SMART  
22 GRANT.—The terms “Strengthening Mobility and  
23 Revolutionizing Transportation grant” and  
24 “SMART grant” means a grant awarded to an eligi-  
25 ble applicant under section 5602.

1 **SEC. 5602. SMART GRANT PROGRAM.**

2 (a) GRANTS AUTHORIZED.—During each of the fiscal  
3 years 2020 through 2024, the Secretary is authorized to  
4 award—

5 (1) 1 SMART grant of not less than  
6 \$30,000,000 or more than \$50,000,000 to an appli-  
7 cant on behalf of a large community to carry out an  
8 eligible project;

9 (2) 1 SMART grant of not less than  
10 \$30,000,000 or more than \$50,000,000 to an appli-  
11 cant on behalf of a mid-sized community to carry  
12 out an eligible project; and

13 (3) 2 SMART grants, totaling not more than  
14 the greater of \$20,000,000 or 20 percent of the  
15 amount appropriated pursuant to section 5604(a)  
16 for the fiscal year, to applicants on behalf of rural  
17 communities or regional partnerships to carry out el-  
18 igible projects.

19 (b) ELIGIBLE ENTITIES.—The following entities are  
20 eligible to receive a grant under this section:

21 (1) A unit of local government, including coun-  
22 ties.

23 (2) A tribal government.

24 (3) A public transit agency or authority.

25 (4) A public toll authority.

26 (5) A metropolitan planning organization.

1           (6) A multijurisdictional group applying  
2 through a single lead applicant listed in paragraphs  
3 (1) through (5).

4           (c) APPLICATION PROCESS.—

5           (1) IN GENERAL.—An eligible applicant may  
6 apply for a grant under this section by submitting  
7 an application to the Secretary at such time, in such  
8 manner, and containing such information as the Sec-  
9 retary may reasonably require to evaluate the merits  
10 of the proposed project in accordance with the selec-  
11 tion criteria set forth in subsection (d).

12           (2) TECHNICAL ASSISTANCE.—

13           (A) STATE DEPARTMENTS OF TRANSPOR-  
14 TATION.—Eligible rural and regional partner-  
15 ship applicants are strongly encouraged to seek  
16 technical assistance from the department of  
17 transportation in their respective States during  
18 the application process and during the imple-  
19 mentation of a project that is awarded a  
20 SMART grant, as applicable.

21           (B) FEDERAL DEPARTMENT OF TRANS-  
22 PORTATION.—The Secretary, after reviewing all  
23 of the applications for SMART grants sub-  
24 mitted in a fiscal year under paragraphs (1),  
25 (2), and (3) of subsection (a), shall—

1 (i) provide not fewer than 2 applicants  
2 from each of the 3 groups of applicants  
3 that submitted applications deemed supe-  
4 rior by the Secretary with limited technical  
5 assistance to improve their respective ap-  
6 plications; and

7 (ii) allow such applicants to resubmit  
8 their improved applications before deter-  
9 mining which applicants will receive a  
10 SMART grant in such fiscal year.

11 (3) MULTIPLE GRANTS.—An eligible applicant  
12 may not be awarded more than 1 SMART grant  
13 during the duration of the SMART Grant Program.

14 (d) SELECTION CRITERIA.—

15 (1) IN GENERAL.—A panel of experts from the  
16 Department of Transportation, including representa-  
17 tives from the applicable subagencies within the De-  
18 partment, shall evaluate applications for SMART  
19 grants based on the applicable criteria described in  
20 paragraphs (2) through (4).

21 (2) APPLICANT READINESS.—The panel re-  
22 ferred to in paragraph (1) shall determine the extent  
23 to which the applicant or beneficiary community—

24 (A) has a dense urban population typical  
25 for a large or mid-sized American city;

1 (B) represents more than 15 percent of the  
2 population of the census-designated place in  
3 which it is located, according to the Census Bu-  
4 reau's most recent annual estimates of resident  
5 population;

6 (C) has a public transportation system or  
7 other transit options committed to integrating  
8 with the sharing economy, and is considering  
9 options to reduce the frequency of single occu-  
10 pancy vehicles;

11 (D) has an environment that is conducive  
12 to demonstrating proposed strategies;

13 (E) has continuity of committed leadership  
14 and capacity to carry out the proposed project;

15 (F) is committed to making open, ma-  
16 chine-readable data accessible, discoverable, and  
17 usable by the public, in a secure fashion, to fuel  
18 entrepreneurship and innovation; and

19 (G) is likely to successfully implement the  
20 project, including technical and financial com-  
21 mitments from public and private sectors, and  
22 its functional capability to perform.

23 (3) EFFECTIVE USE OF TECHNOLOGY AND  
24 PROJECT BENEFITS.—The panel shall determine the  
25 extent to which the proposed project will use ad-

1 vanced data and intelligent transportation systems  
2 technologies and applications to provide significant  
3 benefits to a local area, a State, a region, or the  
4 United States, including the extent to which the  
5 project will—

6 (A) reduce congestion and delays for com-  
7 merce and the traveling public;

8 (B) improve the safety of transportation  
9 facilities and systems for pedestrians, bicyclists,  
10 and the broader traveling public;

11 (C) provide access to jobs, education, and  
12 essential services, including health care;

13 (D) connect underserved populations and  
14 reduce their transportation costs;

15 (E) contribute to medium- and long-term  
16 economic competitiveness;

17 (F) improve the condition, reliability, and  
18 user experience of existing transportation facili-  
19 ties and systems;

20 (G) promote connectivity between con-  
21 nected vehicles, roadway infrastructure, pedes-  
22 trians, bicyclists, the public, and transportation  
23 systems;

1 (H) use innovative strategies or tech-  
2 nologies to pursue any of the primary selection  
3 criteria;

4 (I) demonstrate strong collaboration  
5 among a broad range of participants, including  
6 the private sector, or the integration of trans-  
7 portation with other public service efforts, in-  
8 cluding working with existing mobile and fixed  
9 telecommunication service providers whenever  
10 possible;

11 (J) improve the environment, improve en-  
12 ergy efficiency, reduce dependence on oil, or re-  
13 duce pollution;

14 (K) promote or improve positive public  
15 health outcomes for a community;

16 (L) increase resiliency of the transpor-  
17 tation system;

18 (M) incorporate relevant security solutions  
19 and address emergency situations based on the  
20 scope and necessity;

21 (N) includes sufficient technical, physical,  
22 and administrative measures to ensure security  
23 of information and protection of individuals'  
24 privacy; and



1 (O) address issues identified by the De-  
2 partment of Transportation in the Beyond  
3 Traffic 2045 report.

4 (e) USE OF GRANT FUNDS.—

5 (1) VISION ELEMENTS.—A SMART grant may  
6 be used for a project that demonstrates a sound, in-  
7 novative, integrated, and holistic approach and in-  
8 corporates many aspects of the applicable vision ele-  
9 ments set forth in this paragraph.

10 (A) COORDINATED AUTOMATION.—The use  
11 of automated transportation and autonomous  
12 vehicles, which offer tremendous possibilities for  
13 enhancing safety, mobility, accessibility, equity,  
14 and the environment, while working to minimize  
15 the impact on the accessibility of any other user  
16 group or mode of travel.

17 (B) CONNECTED VEHICLES.—Connected  
18 vehicles, which send and receive information  
19 about their movements in the network, use vehi-  
20 cle-to-vehicle, vehicle-to-infrastructure, and ve-  
21 hicle-to-pedestrian communications to provide  
22 connectivity that will enable countless safety,  
23 mobility, and environmental applications.

24 (C) INTELLIGENT, SENSOR-BASED INFRA-  
25 STRUCTURE.—The use of a collective intelligent

1 infrastructure allows sensors to collect and re-  
2 port real-time data to inform every day trans-  
3 portation-related operations, performance, and  
4 trends of a community, ensuring that data col-  
5 lection and dissemination is conducted in a  
6 safe, secure manner.

7 (D) ARCHITECTURE AND STANDARDS.—

8 The explicit use of architectures, which—

9 (i) are governed by rules, documenta-  
10 tion, and standards;

11 (ii) may be extended to a nationwide  
12 or broader deployment;

13 (iii) are defined and demonstrate inte-  
14 gration of intelligent transportation sys-  
15 tems with other systems which comprise a  
16 smart community; and

17 (iv) include a description of the re-  
18 quired interfaces to other systems that uti-  
19 lize existing networking or other standards,  
20 if available, and any new standards that  
21 may be needed.

22 (E) LOW-COST, EFFICIENT, SECURE, AND  
23 RESILIENT INFORMATION AND COMMUNICA-  
24 TIONS TECHNOLOGY.—Strategies and practices  
25 that advance information and communications

1 technology that is affordable, adaptable, effi-  
2 cient, secure and resilient, including integrated  
3 telecommunications platforms, enterprise soft-  
4 ware, storage, and visualization systems.

5 (F) SMART LAND USE.—Strategies and  
6 practices that ensure land use is efficiently opti-  
7 mized through a combination of planning and  
8 innovation deployments designed to lead to a  
9 better connected community that incorporates  
10 new modes of shared and sustainable transpor-  
11 tation into its existing infrastructure, expanding  
12 the range of transportation choices and access  
13 to employment, housing, education and health  
14 services, which may include—

15 (i) the establishment of value capture  
16 programs and value capture districts to  
17 use a portion of the increase in value re-  
18 sulting infrastructure investments as part  
19 of a mixed package of funding for the in-  
20 frastructure and other public benefits; and

21 (ii) planning updates and policy  
22 changes to increase the supply of housing  
23 located in proximity to public transpor-  
24 tation services.

1 (G) COMPREHENSIVE ANALYTICS.—The  
2 development of platforms for understanding and  
3 analyzing data to address complex challenges,  
4 including personal safety and mobility, network  
5 efficiency, and environmental sustainability, and  
6 measuring the performance of a transportation  
7 network.

8 (H) USER-FOCUSED MOBILITY SERVICES  
9 AND CHOICES.—Strategies, initiatives, and serv-  
10 ices, including connected vehicles, automated  
11 vehicles, and ride, bicycle, and scooter share in-  
12 novations that increase transportation choices  
13 and options by supporting and improving mobil-  
14 ity for all travelers, including aging Americans  
15 and persons with disabilities and advanced trav-  
16 eler information systems that provide real-time  
17 traffic, transit, parking, and other transpor-  
18 tation-related information to travelers.

19 (I) COMMERCE DELIVERY AND LOGIS-  
20 TICS.—Innovative solutions supporting efficient  
21 goods movement in ways that use data or de-  
22 ploy technology, such as connected vehicle probe  
23 data, road weather data, or GPS, to create op-  
24 portunities for a more efficient supply chain ap-  
25 proach that delivers safer logistics management,

1 improved on-time pickups and delivery, im-  
2 proved travel time reliability, reduced fuel con-  
3 sumption, and reduced labor and vehicle main-  
4 tenance costs.

5 (J) LEVERAGE THE USE OF INNOVATIVE  
6 AVIATION TECHNOLOGY.—Leveraging the use of  
7 innovative aviation technologies, such as un-  
8 manned aircraft systems, to support transpor-  
9 tation safety and efficiencies, including traffic  
10 monitoring and infrastructure inspection.

11 (K) STRATEGIC BUSINESS MODELS AND  
12 PARTNERING OPPORTUNITIES.—Creative stra-  
13 tegic partnerships that—

14 (i) draw in stakeholders, including pri-  
15 vate sector, nonprofit, foundation, philan-  
16 thropic, academia, and other public agen-  
17 cies, to advance SMART grant solutions;  
18 and

19 (ii) may include collaboration among  
20 transit agencies and other transportation  
21 providers to integrate multiple transpor-  
22 tation services for increased efficiency, reli-  
23 ability, and convenience in first and last  
24 mile travel.

1 (L) SMART GRID, ROADWAY  
2 ELECTRIFICATION, AND ELECTRIC VE-  
3 HICLES.—Strategies and initiatives  
4 that—

5 (i) leverage the smart grid (a pro-  
6 grammable and efficient energy trans-  
7 mission and distribution system) to sup-  
8 port the adoption or expansion of roadway  
9 electrification, energy capture, and electric  
10 vehicle deployment, including electrically-  
11 assisted bicycles, or freight or commercial  
12 fleet fuel efficiency; and

13 (ii) explore and utilize interactions be-  
14 tween electric vehicles and intelligent  
15 transportation systems with the smart  
16 grid.

17 (M) SYNCHRONIZATION OF TECH-  
18 NOLOGY.—Strategies and initiatives that utilize  
19 technology, such as integrated mobile commerce  
20 infrastructure—

21 (i) to enhance public interaction with  
22 transportation systems;

23 (ii) to increase intermodal efficiency;  
24 and

1 (iii) to accelerate the transition to  
2 open payment fare systems, broadband,  
3 GPS, or Wi-Fi access.

4 (N) CONNECTED, INVOLVED CITIZENS.—  
5 Strategies, local campaigns, and processes to  
6 proactively engage and inform citizens at the  
7 individual level by deploying hardware, soft-  
8 ware, and open data platforms in an effort to  
9 increase personal mobility.

10 (2) ELIGIBLE PROJECT COSTS.—A SMART  
11 grant may be used for—

12 (A) development phase activities, including  
13 a reasonable amount of funding, as determined  
14 by the Secretary, for—

15 (i) planning;

16 (ii) feasibility analysis;

17 (iii) revenue forecasting;

18 (iv) environmental review;

19 (v) permitting;

20 (vi) preliminary engineering and de-  
21 sign work;

22 (vii) systems development or informa-  
23 tion technology work; and

24 (viii) other preconstruction activities;

25 and

- 1 (B) construction phase activities, includ-  
2 ing—  
3 (i) construction;  
4 (ii) reconstruction;  
5 (iii) rehabilitation;  
6 (iv) replacement;  
7 (v) acquisition of real property (in-  
8 cluding land related to the eligible project  
9 and improvements to land);  
10 (vi) environmental mitigation;  
11 (vii) construction contingencies; and  
12 (viii) acquisition of equipment, includ-  
13 ing vehicles.

14 (3) PROHIBITED USE OF GRANT FUNDS.—  
15 SMART grants may not be used—

16 (A) to reimburse any pre-award costs or  
17 application preparation costs under the pro-  
18 posed project application;

19 (B) for traffic or parking enforcement ac-  
20 tivities; or

21 (C) to purchase or lease license plate read-  
22 ers.

23 (f) TRANSPARENCY.—

24 (1) IN GENERAL.—The Secretary shall include,  
25 in any notice of funding availability, a full descrip-



1           tion of how applications will be evaluated against the  
2           criteria set forth in subsection (c).

3           (2) CONSULTATIONS ON DECISIONS.—After all  
4           SMART grants have been awarded for a fiscal year,  
5           the Secretary (or the Secretary’s designee) shall be  
6           available to communicate directly with and have a  
7           debrief with the applicant.

8           (g) SUBMISSION OF APPLICATION FOR OTHER FED-  
9           ERAL TRANSPORTATION FUNDING PROGRAMS TO CARRY  
10          OUT PROPOSED SMART GRANT PROJECTS.—Notwith-  
11          standing any other provision of law, an eligible applicant  
12          for a SMART grant under this section may submit an ap-  
13          plication for projects outlined in the applicant’s SMART  
14          grant application to seek Federal financial assistance for  
15          the proposed transportation project through—

16               (1) the Better Utilizing Investments to Lever-  
17               age Development (BUILD) discretionary grant pro-  
18               gram;

19               (2) the Infrastructure for Rebuilding America  
20               grant program (commonly known as “INFRA”);

21               (3) the Transportation Infrastructure Finance  
22               and Innovation program established under chapter 6  
23               of title 23, United States Code (commonly known as  
24               “TIFIA”);

1           (4) the Railroad Rehabilitation and Improve-  
2           ment Financing Program of the Federal Railroad  
3           Administration;

4           (5) the Capital Investment Grant Program of  
5           the Federal Transit Administration;

6           (6) the Congestion Mitigation and Air Quality  
7           Improvement Program of the Federal Highway Ad-  
8           ministration; or

9           (7) the Advanced Transportation and Conges-  
10          tion Management Technologies Deployment Program  
11          established under section 503(c)(4) of title 23,  
12          United States Code (commonly known as  
13          “ATCMTD”).

14          (h) CONFORMING AMENDMENT.—Section 117(c) of  
15          title 23, United States Code, is amended

16          **SEC. 5603. REPORTING REQUIREMENTS.**

17          (a) REPORT TO SECRETARY.—Not later than 2 years  
18          after the date on which a SMART grant recipient receives  
19          a grant under section 5602, and annually thereafter until  
20          such grant is expended, the recipient shall submit an im-  
21          plementation report to the Secretary that describes—

22                  (1) the deployment and operational costs com-  
23                  pared to the benefits and savings from the project;  
24                  and

1           (2) how the project has met the original expecta-  
2           tion as projected in the deployment plan submitted  
3           with the application, including—

4                   (A) data on how the project—

5                           (i) affected the measurement and im-  
6                           provement of transportation system per-  
7                           formance through the deployment of ad-  
8                           vanced technologies;

9                           (ii) reduced traffic-related fatalities  
10                          and injuries;

11                          (iii) reduced traffic congestion, im-  
12                          proved travel time reliability, and reduced  
13                          costs;

14                          (iv) reduced transportation-related  
15                          emissions;

16                          (v) optimized multimodal system per-  
17                          formance;

18                          (vi) improved access to all transpor-  
19                          tation alternatives;

20                          (vii) implemented technological inno-  
21                          vation to increase efficiency with regards  
22                          to intermodal communication, energy con-  
23                          sumption, information and communications  
24                          technology, and personal mobility;

1 (viii) provided the public with access  
2 to real-time integrated traffic, transit, and  
3 multimodal transportation information to  
4 make informed travel decisions;

5 (ix) provided cost savings to transpor-  
6 tation agencies, businesses, and the trav-  
7 eling public;

8 (x) provided other benefits to trans-  
9 portation users and the general public;

10 (xi) reduced barriers or improved ac-  
11 cess to jobs, education, or various essential  
12 services; and

13 (xii) utilized partnerships with the pri-  
14 vate sector;

15 (B) the effectiveness of providing real-time  
16 integrated traffic, transit, and multimodal  
17 transportation information to the public to  
18 make informed travel decisions; and

19 (C) lessons learned and recommendations  
20 for future deployment strategies to optimize  
21 transportation efficiency and multimodal system  
22 performance.

23 (b) GAO BIENNIAL REVIEWS.—Not later than 2  
24 years after the date of the enactment of this Act, and bien-  
25 nially thereafter, the Comptroller General of the United

1 States shall conduct a review of the SMART grant selec-  
2 tion process and submit a report containing the results  
3 of such review to the Committee on Commerce, Science,  
4 and Transportation of the Senate, the Committee on Ap-  
5 propriations of the Senate, the Committee on Energy and  
6 Commerce of the House of Representatives, the Com-  
7 mittee on Appropriations of the House of Representatives,  
8 and the Committee on Transportation and Infrastructure  
9 of the House of Representatives.

10 (c) REPORT TO CONGRESS.—Not later than 2 years  
11 after the date on which initial grants are awarded under  
12 section 5602, the Secretary shall submit a report to the  
13 Committee on Commerce, Science, and Transportation of  
14 the Senate, the Committee on Energy and Commerce of  
15 the House of Representatives, and the Committee on  
16 Transportation and Infrastructure of the House of Rep-  
17 resentatives that—

18 (1) describes all of the grant recipients;

19 (2) identifies the amount each grant recipient  
20 was awarded;

21 (3) summarizes the intended uses for the  
22 grants;

23 (4) describes the effectiveness of SMART grant  
24 recipients in meeting their projected deployment  
25 plan;

1           (5) analyzes how the projects funded by such  
2           grants or by other Department of Transportation fi-  
3           nancial assistance described in section 5602(f)  
4           have—

5                   (A) affected the measurement and im-  
6                   provement of transportation system perform-  
7                   ance through the deployment of advanced tech-  
8                   nologies;

9                   (B) reduced traffic-related fatalities and  
10                  injuries;

11                  (C) reduced traffic congestion, improved  
12                  travel time reliability, and reduced costs;

13                  (D) reduced transportation-related emis-  
14                  sions;

15                  (E) optimized multimodal system perform-  
16                  ance;

17                  (F) improved access to all transportation  
18                  alternatives;

19                  (G) implemented technological innovation  
20                  to increase efficiency with regards to intermodal  
21                  communication, energy consumption, informa-  
22                  tion and communications technology, and per-  
23                  sonal mobility;

24                  (H) provided the public with access to real-  
25                  time integrated traffic, transit, and multimodal

1 transportation information to make informed  
2 travel decisions;

3 (I) provided cost savings to transportation  
4 agencies, businesses, and the traveling public;

5 (J) provided other benefits to transpor-  
6 tation users and the general public;

7 (K) reduced barriers or improved access to  
8 jobs, education, or various essential services;

9 (L) utilized partnerships with the private  
10 sector; and

11 (M) effectively provided real-time inte-  
12 grated traffic, transit, and multimodal trans-  
13 portation information to the public to make in-  
14 formed travel decisions; and

15 (6) describes lessons learned and recommenda-  
16 tions for future deployment strategies to optimize  
17 transportation efficiency and multimodal system per-  
18 formance.

19 **SEC. 5604. AUTHORIZATION OF APPROPRIATIONS.**

20 (a) IN GENERAL.—There are authorized to be appro-  
21 priated to the Department of Transportation  
22 \$100,000,000 for each of the first 5 fiscal years beginning  
23 after the date of the enactment of this Act, of which—

24 (1) not more than 80 percent shall be used for  
25 SMART grants to large communities and mid-sized

1 communities under paragraphs (1) and (2) of sec-  
2 tion 5602(a);

3 (2) not more than 20 percent shall be used for  
4 SMART grants to rural communities or regional  
5 partnerships under section 5602(a)(3); and

6 (3) not more than 2 percent shall be used for  
7 administrative costs by the Office of the Secretary  
8 within the Department of Transportation.

9 (b) LIMITATION.—A grant recipient may not use  
10 more than 3 percent of the grant award each fiscal year  
11 to carry out planning and reporting requirements.

12 (c) AVAILABILITY.—Amounts appropriated for a fis-  
13 cal year pursuant to this section shall be available for obli-  
14 gation during the 2-year period beginning on the first day  
15 of the fiscal year for which such amounts were appro-  
16 priated.

